

# Failures of Choice in the Blurry HOD Hierarchy

Brandon Ward

The Graduate Center, CUNY

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# Blurry HOD

- \* A set  $x$  is *OD* if it is unique such that  $\varphi(x, \gamma)$ .
- \* A set  $x$  is  $<\kappa$ -*OD* if it is one of less than  $\kappa$ -many such that  $\varphi(x, \gamma)$ .

## Definition (Fuchs)

A set  $x$  is  $<\kappa$  **blurrily ordinal definable**, or  $<\kappa$ -**OD**, if it belongs to an *OD* set of size  $< \kappa$ .

A set  $x$  is  $<\kappa$  **hereditarily blurrily ordinal definable**, or  $<\kappa$ -**HOD**, if  $\text{tc}(\{x\}) \subseteq <\kappa$ -*OD*.

- \* E.g.  $HOD = <2$ -*HOD*
- \* Precursors:
  - Ordinal algebraic*:  $<\omega$ -*OD* (Hamkins, Leahy)
  - Nontypical*:  $<\omega_1$ -*OD* (Tzouvaras)

# Basic facts about blurry HOD

## Theorem (Fuchs)

- (I)  $<_{\kappa}$ -HOD is an inner ZF model, which may or may not satisfy AC.
- (II) Weakly increasing:  $<_{\kappa}$ -HOD  $\subseteq$   $<_{\lambda}$ -HOD when  $\kappa < \lambda$ .
- (III)  $V = \bigcup_{\kappa \in \text{Card}} <_{\kappa}$ -HOD, assuming AC in  $V$ .

## Theorem (Blurry Choice; Fuchs)

If  $C \in <_{\kappa}$ -HOD is a set of nonempty sets, there is a function  $f \in <_{\kappa}$ -HOD with domain  $C$  that picks, for every  $c \in C$ , a nonempty subset  $f(c) \subseteq c$  of  $V$ -cardinality  $< \kappa$ .

## Closeness between levels

The two properties express closeness between two models, introduced by Hamkins.

### Theorem (Fuchs)

Let  $2 \leq \kappa \leq \lambda$  be infinite cardinals (so  $\langle \kappa\text{-HOD} \subseteq \langle \lambda\text{-HOD}$ ).

- (I)  $\langle \kappa\text{-HOD}$  satisfies the **external  $\lambda$ -cover property** in  $\langle \lambda\text{-HOD}$ : for every  $a \in \langle \lambda\text{-HOD}$  with  $a \subseteq \langle \kappa\text{-HOD}$  and  $|a|^V < \lambda$ , there is a  $c \in \langle \kappa\text{-HOD}$  with  $a \subseteq c$  and  $|c|^V < \lambda$ .
- (II)  $\langle \kappa\text{-HOD}$  satisfies the **external  $\lambda$ -approximation property** in  $\langle \lambda\text{-HOD}$ : if  $a \in \langle \lambda\text{-HOD}$  with  $a \subseteq \langle \kappa\text{-HOD}$  is such that  $a \cap c \in \langle \kappa\text{-HOD}$  for every  $c \in \langle \kappa\text{-HOD}$  with  $|c|^V < \lambda$ , then  $a \in \langle \kappa\text{-HOD}$ .

### Proof.

- (I) If  $A$  is a  $\langle \lambda$ -blurry ordinal definition of  $a$ , let  $c := \bigcup A$ .



# Characterizing choiceful levels

## Theorem (Fuchs)

*The following are equivalent.*

- (I)  $<_{\kappa}\text{-HOD} \models \text{AC}$ .
- (II)  $<_{\kappa}\text{-HOD}$  is a set forcing extension of  $\text{HOD}$  by a  $\kappa$ -c.c. forcing notion.

## Lemma (Bukovský)

*(ZFC) Let  $M$  be a transitive inner model of ZFC,  $\kappa$  an infinite cardinal. TFAE:*

- (I)  $V$  is a forcing extension of  $M$  by a  $\kappa$ -c.c. forcing.
- (II) Whenever  $f : \alpha \rightarrow \beta$  in  $V$ , there is a function  $g : \alpha \rightarrow \mathcal{P}(\beta)$  in  $M$  such that  $f(\xi) \in g(\xi)$  and  $|g(\xi)|^M < \kappa$ , for every  $\xi < \alpha$ .

# Leaps

- \* Leaps are stages of the hierarchy in which something new appears.

## Definition

A cardinal  $\lambda > 2$  is a **leap** if  $\langle \delta\text{-HOD} \subsetneq \langle \lambda\text{-HOD}$  for every  $\delta < \lambda$ .

- \* Possible structure of leaps has been studied by Fuchs.

## Definition

A leap  $\lambda$  is an **AC-leap** if  $\langle \lambda\text{-HOD} \models AC$ .

A leap  $\lambda$  is a **non-AC-leap** if  $\langle \lambda\text{-HOD} \not\models AC$ .

**General goal:** better understand the possible AC/non-AC patterns in the leaps.

# Summary of known choice possibilities

Two ZFC facts:

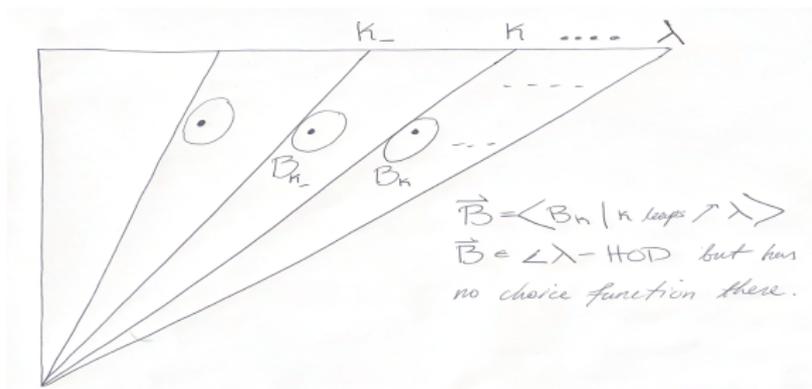
## Theorem (Hamkins, Leahy)

$\langle \omega\text{-HOD} \models AC$ . In fact,  $\langle \omega\text{-HOD} = \text{HOD}$ .

$\implies$  the first leap is uncountable (if existent).

## Theorem (Fuchs)

$\langle \lambda\text{-HOD} \not\models AC$  for  $\lambda$  a limit of leaps; i.e., a limit of leaps is a non-AC-leap.



# Summary of known leap-choice possibilities

*ZFC* facts:

- \*  $\langle \omega\text{-HOD} \models AC$  (in fact,  $\langle \omega\text{-HOD} = HOD$ ; Hamkins, Leahy)
- \*  $\langle \lambda\text{-HOD} \not\models AC$  for  $\lambda$  a limit of leaps (Fuchs)

Consistent with *ZFC* that

- \*  $\langle \omega_1\text{-HOD} \not\models AC$  (Kanovei, Lyubetsky)
- \*  $\langle \kappa^+\text{-HOD} \not\models AC$  for  $\kappa$  inaccessible
- \*  $\langle \kappa^{++}\text{-HOD} \not\models AC$  for  $\kappa^{<\kappa} = \kappa$

and all of these are leaps, i.e. non-AC-leaps, and the *least* leaps.

# Where we're going

Consistent with *ZFC* that:

- \*  $\langle \omega_1\text{-HOD} \not\models AC$  (Kanovei, Lyubetsky)
- \*  $\langle \kappa^+\text{-HOD} \not\models AC$  for  $\kappa$  inaccessible
- \*  $\langle \kappa^{++}\text{-HOD} \not\models AC$  for  $\kappa$  with  $\kappa^{<\kappa} = \kappa$ .

Overview:

- \* All involve a forcing constructed with a  $\diamond$ -sequence that has a “unique generics” property.
- \* A product of the forcing is used to add a  $\rho$ -sequence of subsets of  $\rho$ , the set  $W$  of which is in  $\langle \rho^+\text{-HOD}$  but not well-orderable there ( $\rho = \omega, \kappa, \kappa^+$ ).
- \* Will make use of models like  $L(W)$  where that result is well-known.

## Theorem (Kanovei, Lyubetsky)

*In a certain forcing extension of  $L$ ,  $<\omega_1$ -HOD fails to satisfy choice.*

- \* Jensen forcing  $\mathbb{J}$ : consists of perfect subtrees of  $2^{<\omega}$  ordered by  $\subseteq$ , constructed using  $\diamond$ .
- \* Kanovei & Lyubetsky extended two key properties to  $\mathbb{J}^{<\omega}$ :
  - (i)  $\mathbb{J}^{<\omega}$  is c.c.c.
  - (ii) Unique generics property:  $\mathbb{J}^{<\omega}$  adds exactly  $\omega$ -many  $\mathbb{J}$ -generic subsets of  $\omega$ , the slices of the filter.

# First successor failure of choice

## Theorem (Kanovei, Lyubetsky)

*In a certain forcing extension of  $L$ ,  $<\omega_1$ -HOD fails to satisfy choice.*

- \* Force with  $\mathbb{J}^{<\omega}$  to add an  $\omega$ -sequence  $\vec{a} = \langle a_n \mid n < \omega \rangle$  of subsets of  $\omega$ .
- \* In  $L[\vec{a}]$ , only  $\mathbb{J}$ -generic subsets of  $\omega$  over  $L$  are the  $a_n$ .
- \* So  $W = \{a_n \mid n < \omega\}$  is OD and  $W \in <\omega_1$ -HOD in  $L[\vec{a}]$ .
- \* This yields  $L(W) \subseteq <\omega_1$ -HOD $^{L[\vec{a}]}$ .

# Their proof that $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \not\models AC$

The set  $W = \{a_n \mid n < \omega\}$  is precisely the set of  $\mathbb{J}$ -generic subsets of  $\omega$  over  $L$ .

The point:  $W$  is not well-orderable in  $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle$ .

## Lemma

*$W$  is not well-orderable in  $L(W)$ .*

## Lemma (Kanovei, Lyubetsky)

*If  $Z \subseteq {}^\omega W$  is a countable OD set in  $L[\bar{a}]$ , then  $Z \subseteq L(W)$ .*

*Consequently:* If  $f$  was a well-ordering of  $W$  in  $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle$ , then by definition  $f \in Z \subseteq L(W)$ .

# Their proof that $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle \not\models AC$

In fact we can show  $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle = L(W)$ .

## Lemma

*If  $Z$  is a countable OD set in  $L[\bar{a}]$ , and every  $x \in Z$  has  $x \subseteq L(W)$ , then  $Z \in L(W)$ .*

## Corollary

$\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle = L(W) \not\models AC$ .

## Proof.

If not, take  $x \in$ -minimal in  $\langle \omega_1\text{-HOD}^{L[\bar{a}]} \rangle \setminus L(W)$ , so  $x \subseteq L(W)$ . Then  $x$  belongs to a  $Z$  as in the lemma, so  $x \in Z \subseteq L(W)$ .  $\square$

## Lemma (Kanovei, Lyubetsky)

*If  $Z$  is a countable OD set of reals in  $V[a]$ , then  $Z \in V$  ( $a$  is Cohen/Solovay-random/dominating/Sacks).*

## Second successor failure of choice

Friedman & Gitman constructed a Jensen-like forcing  $\mathbb{J}(\kappa)$  using  $\diamond_{\kappa^+}(\text{cof}(\kappa))$  for an inaccessible cardinal consisting of perfect  $\kappa$ -trees ordered by  $\subseteq$ .

$\mathbb{J}(\kappa)$  has same crucial properties as Jensen forcing for previous arguments:

- \*  $<\kappa$ -closed.
- \*  $\kappa^+$ -c.c.
- \* Adds a unique generic subset of  $\kappa$ .

### Theorem (Friedman, Gitman)

*In a generic extension  $V[G]$  by  $\mathbb{J}(\kappa)^{<\kappa}$ , the only  $\mathbb{J}$ -generic/ $V$  subsets of  $\kappa$  are the slices of the generic filter.*

## Second successor failure of choice

### Theorem

In an extension of  $L$  by  $\mathbb{J}(\kappa)^{<\kappa}$ ,  $<\kappa^+$ -HOD fails to satisfy choice.

The proof follows the same format as the case for  $\mathbb{J}$  with one minor difference:

- \* The set of generics  $W$  is not well-orderable in  $L(\mathbb{C}(\kappa, W))$ .
  - ▶  $\mathbb{C}(\kappa, W)$  is the forcing of *partial* injections  $\kappa \rightarrow W$  of size  $< \kappa$  ordered by extension.
- \*  $<\kappa^+$ -HOD $^{L[\bar{a}]}$  =  $L(\mathbb{C}(\kappa, W))$ .

In the case of  $\mathbb{J}(\omega) = \mathbb{J}$ ,  $\mathbb{C}(\omega, W)$  is also used, but there we have  $L(\mathbb{C}(\omega, W)) = L(W)$ .

## Successor non-AC leaps

In both cases of  $<_{\kappa^+}\text{-HOD} \not\models \text{AC}$  ( $\kappa = \omega$  or  $\kappa$  inaccessible),  $\kappa^+$  is the least leap. Moreover, *GCH* still holds in the extension of  $L$ .

### Lemma (Fuchs)

*If  $\kappa$  is a regular cardinal,  $\mathbb{P}$  a cone homogenous,  $<_{\kappa}$ -closed forcing notion, and  $G \subseteq \mathbb{P}$  is  $\mathbb{P}$ -generic over  $V$ , then  $<_{\kappa}\text{-HOD}^{V[G]} \subseteq V$ .*

### Corollary

*For  $\kappa = \omega$  or  $\kappa$  inaccessible, it is consistent that  $\kappa^+$  is a non-AC-leap and the least leap.*

### Proof.

In the previous results,  $\mathbb{P} = \mathbb{J}(\kappa)^{<_{\kappa}}$  is cone homogenous and  $<_{\kappa}$ -closed, so in fact  $<_{\kappa}\text{-HOD}^{L[\bar{a}]} = L$ , meanwhile  $W \in <_{\kappa^+}\text{-HOD}^{L[\bar{a}]} \setminus L$ . □

# Distilling the crucial properties of the forcings

In showing the inclusion  $L(\mathbb{C}(\kappa, W)) \subseteq <_{\kappa^+}\text{-HOD}^{L[\vec{a}]}$ , the unique generics property of the forcing was essential.

## Definition

A poset  $\mathbb{P}$  is  $\kappa$ -**Jensen** if  $\mathbb{P}^{<\kappa}$  preserves cardinals, and in an extension by  $\mathbb{P}^{<\kappa}$  the only  $\mathbb{P}$ -generics over the ground are the  $\kappa$ -many slices of the  $\mathbb{P}^{<\kappa}$ -generic.

## Examples

- (i) Jensen forcing  $\mathbb{J}$  is  $\omega$ -Jensen.
- (ii) Jensen forcing at an inaccessible  $\mathbb{J}(\kappa)$  is  $\kappa$ -Jensen.

## Lemma

*If  $\mathbb{P} \in L$  is  $\kappa$ -Jensen, then  $L(\mathbb{C}(\kappa, W)) \subseteq <_{\kappa^+}\text{-HOD}^{L[\vec{a}]}$ , where  $\vec{a}$  is  $\mathbb{P}^{<\kappa}$ -generic/ $L$ , and  $W$  is the set of these  $\kappa$ -many  $\mathbb{P}$ -generics.*

# Distilling the crucial properties of the forcings

In showing the inclusion  $\langle \kappa^+ \text{-HOD}^{L[\bar{a}]} \subseteq L(\mathbb{C}(\kappa, W))$ , a different crucial property of the Jensen forcings is essential.

Let  $\kappa$  be an infinite cardinal such that  $\kappa^{<\kappa} = \kappa$ .

## Definition

A poset  $\mathbb{P}$  is  $\kappa$ -**Kanovei** if the following hold.

- (i)  $\mathbb{P}^{<\kappa}$  does not add a new bounded subset of  $\kappa$ .
- (ii) Let  $G$  be  $\mathbb{P}^{<\kappa}$ -generic/ $V$ . Whenever  $V_\theta$  is sufficiently correct and  $\pi : \bar{V} \rightarrow V_\theta$  is an elementary embedding with  $\bar{V}$  transitive and of size  $\kappa$ , and  $(\kappa + 1) \cup \{\mathbb{P}^{<\kappa}\} \subseteq \text{ran}(\pi)$ , then letting  $\bar{\mathbb{P}} := \pi^{-1}(\mathbb{P})$  and  $\bar{G} := \pi^{-1}'' G$ ,  $\bar{G}$  is  $\bar{\mathbb{P}}^{<\kappa}$ -generic/ $\bar{V}$  and for every  $\delta < \kappa$ , there is in  $V$  a  $\mathbb{C}(\kappa, \kappa)$ -generic/ $\bar{V}[\bar{G}]$  function  $I : \kappa \rightarrow \kappa$  such that  $I \upharpoonright \delta = \text{id}$ .

## Example

$\mathbb{J}(\kappa)$  for  $\kappa = \omega$  or  $\kappa$  inaccessible is  $\kappa$ -Kanovei.

# Getting $\langle \kappa^+ \text{-HOD}^{V[G]} \subseteq V(\mathbb{C}(\kappa, W))$ for $\mathbb{P}$ $\kappa$ -Kanovei

Useful tool: we can view a  $\mathbb{P}^{<\kappa}$ -generic sequence  $\vec{a} = \langle a_\xi \mid \xi < \kappa \rangle$  as a  $\mathbb{C}(\kappa, W)$ -generic function/ $V(\mathbb{C}(\kappa, W))$ , and vice versa.

## Lemma (Kanovei, Lyubetsky)

*If  $\vec{a}$  is a  $\mathbb{P}^{<\kappa}$ -generic sequence over  $V$ , then  $\vec{a}$  is a  $\mathbb{C}(\kappa, W)$ -generic function over  $V(\mathbb{C}(\kappa, W))$ .*

## Lemma (Kanovei, Lyubetsky)

*If  $\vec{b}$  is a  $\mathbb{C}(\kappa, W)$ -generic function over  $V(\mathbb{C}(\kappa, W))$ , then  $\vec{b}$  is a  $\mathbb{P}^{<\kappa}$ -generic sequence over  $V$ .*

# Getting $\langle \kappa^+ \text{-HOD}^{V[G]} \subseteq V(\mathbb{C}(\kappa, W))$ for $\mathbb{P}$ $\kappa$ -Kanovei

Let  $\mathbb{P}$  be  $\kappa$ -Kanovei and  $G$  be  $\mathbb{P}^{\langle \kappa}$ -generic/ $V$ .

## Lemma

*If  $A \in V[G]$  is definable from parameters in  $V(\mathbb{C}(\kappa, W))$  and of size  $\leq \kappa$ , and every  $x \in A$  has  $x \subseteq V(\mathbb{C}(\kappa, W))$ , then  $A \in V(\mathbb{C}(\kappa, W))$ . Thus,  $\langle \kappa^+ \text{-HOD}^{V[G]}_{V(\mathbb{C}(\kappa, W))} = V(\mathbb{C}(\kappa, W))$ .*

## Proof.

Fix  $p_0 \in \mathbb{C}(\kappa, W)$ , extended by  $\bigcup G : \kappa \twoheadrightarrow W$ , forcing our assumptions over  $V(\mathbb{C}(\kappa, W))$ :

- ▶  $\dot{A} = \{x \mid \varphi(x, \check{v})\}$  is size  $\kappa$ ,  $v \in V(\mathbb{C}(\kappa, W))$ .
- ▶ Every  $x \in \dot{A}$  has  $x \subseteq V(\check{\mathbb{C}}(\kappa, W))$ .

Let  $\mathbb{C} = \mathbb{C}(\kappa, W)$ .

$$A \in OD_{V(\mathbb{C})}^{V[G]}, |A| \leq \kappa, A \subseteq \mathcal{P}(V(\mathbb{C})) \Rightarrow A \in V(\mathbb{C})$$

## Proof.

$p_0 \in \mathbb{C}$ , extended by  $\bigcup G : \kappa \twoheadrightarrow W$ ,  $\mathbb{C}$ -forces/ $V(\mathbb{C})$  our assumptions on  $\dot{A}$ .

### Sketch:

- \* Pass to sufficiently correct transitive  $\bar{V}$  of size  $\kappa$ , where  $\bar{G}$ ,  $\bar{\mathbb{P}}$  are the collapses,  $\bar{\mathbb{C}}$  in  $\bar{V}[\bar{G}]$ ,  $\dot{\bar{A}}$  in  $\bar{V}(\bar{\mathbb{C}})$ .
- \* Use  $\kappa$ -Kanovei-ness to get, in  $V$ ,  $I : \kappa \twoheadrightarrow \kappa$ ,  $\mathbb{C}(\kappa, \kappa)$ -generic over  $\bar{V}[\bar{G}]$  with  $I \upharpoonright \text{dom}(p_0) = \text{id}$ .
- \* Permute coordinates of  $\bar{G}$  by  $I$  to get  $\bar{H}$  mutually  $\bar{\mathbb{P}}^{<\kappa}$ -generic/ $\bar{V}$  with  $\bar{G}$ ; yet  $V[G] = V[H]$ .  
 $\Rightarrow \bigcup \bar{G}$  and  $\bigcup \bar{H}$  mutually  $\bar{\mathbb{C}}$ -generic/ $\bar{V}(\bar{\mathbb{C}})$ .
- \* Show  $\dot{\bar{A}}^{\bar{G}} = \dot{\bar{A}}^{\bar{H}}$  ( $=: \bar{A}$ ).
- \* Then  $\bar{A} \in \bar{V}(\bar{\mathbb{C}})[\bar{G}] \cap \bar{V}(\bar{\mathbb{C}})[\bar{H}]$ , so  $\bar{A} \in \bar{V}(\bar{\mathbb{C}})$ , which will get  $A \in V(\mathbb{C})$ .



# Summary

Morally:

- \*  $\kappa$ -Kanovei  $\Rightarrow \langle \kappa^+ \text{-HOD}^{V[\bar{a}]} \subseteq V(\mathbb{C}(\kappa, W))$ .
- \*  $\kappa$ -Jensen  $\Rightarrow L(\mathbb{C}(\kappa, W)) \subseteq \langle \kappa^+ \text{-HOD}^{L[\bar{a}]}$ .
- \*  $\kappa$ -Jensen +  $\kappa$ -Kanovei  $\Rightarrow \langle \kappa^+ \text{-HOD}^{L[\bar{a}]} = L(\mathbb{C}(\kappa, W))$  fails to satisfy choice.

# Removing the inaccessible: free Suslin trees

- \* Work of Krueger shows a similar “unique generics” phenomenon with free Suslin trees.

## Definition

A Suslin tree  $T$  is **free** if, for any finitely many nodes  $s_0, \dots, s_{n-1}$  on the same level of  $T$ , the tree  $T_{s_0} \otimes \dots \otimes T_{s_{n-1}}$  is Suslin. Equivalently,  $T_{s_0} \times \dots \times T_{s_{n-1}}$  is c.c.c.

- \*  $T_s = \{v \in T \mid s \leq_T v\}$
- \* The tree product  $\otimes$  only takes tuples of nodes from the same level.
- \* Freeness is a high form of rigidity, and free Suslin trees exist under the assumption of  $\diamond$ .

## Removing the inaccessible: free Suslin trees

- \* Let  $T$  be a *free, normal* Suslin tree and let  $\mathbb{P}$  be the  $\lambda$ -fold product of  $T$  with countable support.
- \* Forcing with  $\mathbb{P}$  adds branches  $b_i$  through  $T$  for  $i < \lambda$ , subsets of  $\omega_1$ .

### Theorem (Krueger)

$\mathbb{P}$  is countably distributive and  $(2^\omega)^+$ -Knaster.

### Corollary

Under  $\diamond$ ,  $\mathbb{P}$  preserves cardinals.

### Theorem (Krueger)

$\mathbb{P}$  adds exactly the branches  $b_i$  for  $i < \lambda$ .

### Theorem

Under  $\diamond$ ,  $T$  is  $\omega_1$ -Jensen.

# Extending to free $\kappa^+$ -Suslin trees

Let  $\kappa$  be an infinite cardinal such that  $\kappa^{<\kappa} = \kappa$ .

- \* Let  $T$  be a *free, normal,  $<\kappa$ -closed*  $\kappa^+$ -Suslin tree — such exist under  $\diamond_{\kappa^+}(\text{cof}(\kappa))$ .
- \* Let  $\mathbb{P}$  be the  $\lambda$ -fold product of  $T$  with  $\kappa$ -support.

## Theorem

$\mathbb{P}$  is  $<\kappa^+$ -distributive and  $(2^\kappa)^+$ -Knaster.

## Corollary

Under  $\diamond_{\kappa^+}(\text{cof}(\kappa))$ ,  $\mathbb{P}$  preserves cardinals.

## Theorem

$\mathbb{P}$  adds exactly the branches  $b_i$  for  $i < \lambda$ .

## Theorem

Under  $\diamond_{\kappa^+}(\text{cof}(\kappa))$ ,  $T$  is  $\kappa^+$ -Jensen.

# Extending to free $\kappa^+$ -Suslin trees

## Theorem

$T$  is  $\kappa^+$ -Jensen; so  $L(\mathbb{C}(\kappa^+, B)) \subseteq <_{\kappa^{++}}\text{-HOD}^{L[\vec{b}]}$ .

## Theorem

$T$  is  $\kappa^+$ -Kanovei; so  $<_{\kappa^{++}}\text{-HOD}^{L[\vec{b}]} \subseteq L(\mathbb{C}(\kappa^+, B))$ .

## Theorem

In  $L[\vec{b}]$ ,  $<_{\kappa^{++}}\text{-HOD} = L(\mathbb{C}(\kappa^+, B))$  fails to satisfy choice.

## Theorem

In  $L[\vec{b}]$ ,  $\kappa^{++}$  is a non-AC-leap, and the least leap.

## Proof.

$B = \{b_i \mid i < \kappa^+\}$  is in  $<_{\kappa^{++}}\text{-HOD}$  but  $b_0$  is not in  $<_{\kappa^+}\text{-HOD}$ . □

$\kappa^{<\kappa} = \kappa$ ,  $T$  is a normal, free,  $<\kappa$ -closed  $\kappa^+$ -Suslin tree

## Theorem

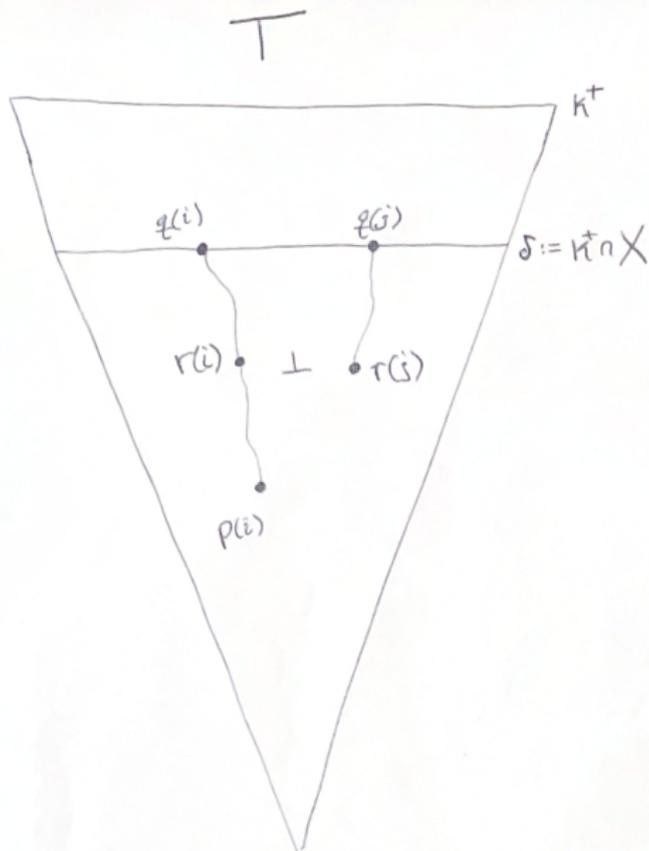
$\mathbb{P} = \prod_{\lambda}^{<\kappa} T$  adds exactly the branches  $b_i$  for  $i < \lambda$ .

## Definition (Pseudo-forcing)

Let  $\theta$  be a regular cardinal,  $p \in \mathbb{P}$ . Let  $p, \mathbb{P} \in X \prec H_\theta$ , where  $\kappa + 1 \subseteq X$  and  $X$  has cardinality  $\kappa$ . Define  $\mathbb{Q}_{X,p}$  as follows. Let  $\delta := \kappa^+ \cap X$ . Conditions are of the form  $\langle r, q, I \rangle$  where

- (i)  $r \in \mathbb{P} \cap X$ ,
- (ii)  $r \leq_{\mathbb{P}} p$ ,
- (iii)  $I \in X$  is a subset of  $\text{dom}(r)$  of size  $< \kappa$ ,
- (iv) for  $i \neq j$  in  $I$ ,  $r(i)$  and  $r(j)$  are incomparable in  $T$ ,
- (v)  $q : I \rightarrow T(\delta)$ ,
- (vi) for  $i \in I$ ,  $r(i) <_T q(i)$ .

# Pseudo forcing



$$\langle r, q, I \rangle \in \mathbb{Q}_{X, P}$$

$$q: I \rightarrow T(\delta)$$

$$I \subseteq \text{dom } r$$

$$|I| < \kappa$$

$$r \in \mathbb{P} = \prod_{\lambda}^{\leq \kappa} T$$

$$r: \text{dom } r \rightarrow T$$

$$|\text{dom } r| \leq \kappa$$

$$\text{dom } r \subseteq \lambda$$

$\mathbb{P} = \prod_{\lambda}^{\leq \kappa} T$  adds exactly the branches  $b_i$  for  $i < \lambda$

### Lemma (Existence of pseudo-generics)

If  ${}^{<\kappa}X \subseteq X$ , then given a collection  $\mathcal{D}$  of  $\kappa$ -many dense subsets of  $\mathbb{Q}_{X,p}$ , there is a  $\mathcal{D}$ -generic filter  $G$ .

### Lemma

The following are dense in  $\mathbb{Q} = \mathbb{Q}_{X,p}$ .

- (1)  $\Delta_i^l = \{\langle r, q, I \rangle \in \mathbb{Q} \mid i \in I\}$  for every  $i \in \lambda \cap X$ .
- (2)  $\Delta_\xi^r = \{\langle r, q, I \rangle \in \mathbb{Q} \mid \forall i \in I \mid r(i) \geq \xi\}$  for every  $\xi < \delta$   
( $= \kappa^+ \cap X$ )
- (3) Assuming  $\lambda \geq \kappa^+$ ,  $\Delta_z = \{\langle r, q, I \rangle \in \mathbb{Q} \mid z \in \text{ran}(q)\}$  for every  $z \in T(\delta)$ .

If  $G \subseteq \mathbb{Q}$  is a filter meeting all of these ( $\kappa$ -many) dense sets, then for  $\hat{q} = \bigcup \{q \mid \exists r, I \langle r, q, I \rangle \in G\}$ ,  $\hat{q} \in \mathbb{P}$ ,  $\text{dom}(\hat{q}) = \lambda \cap X$ ,  $\hat{q} \leq_{\mathbb{P}} p$ ,  $\hat{q} \leq_{\mathbb{P}} r$  for  $\langle r, q, I \rangle \in G$ , and  $\text{ran}(\hat{q}) = T(\delta)$ .

$\mathbb{P} = \prod_{\lambda}^{\leq \kappa} T$  adds exactly the branches  $b_i$  for  $i < \lambda$

### Lemma

If  $D \subseteq \mathbb{P}$  is dense below  $p$ , where  $p, D \in X$ , then  $D^{\mathbb{Q}} = \{\langle r, q, I \rangle \in \mathbb{Q} \mid r \in D\}$  is dense in  $\mathbb{Q}$ .

### Theorem

$\mathbb{P}$  adds exactly the branches  $b_i$  for  $i < \lambda$ .

### Proof.

- ▶ If not, let  $p \in \mathbb{P}$  force that  $\dot{b}$  is cofinal branch through  $T$ , but  $\dot{b} \neq \dot{b}_i$  for all  $i < \lambda$ .
- ▶ Throw everything in to a  $\kappa$ -size  $X \prec H_\theta$  from before,  ${}^{<\kappa}X \subseteq X$ .
- ▶ For  $i \in \lambda \cap X$ , let  $D_i$  be open dense below  $p$  set of  $r \leq_{\mathbb{P}} p$  that force  $\dot{b} \upharpoonright \xi \neq \dot{b}_i \upharpoonright \xi$  for some  $\xi < \kappa^+$ .



$\mathbb{P} = \prod_{\lambda}^{\leq \kappa} T$  adds exactly the branches  $b_i$  for  $i < \lambda$

## Theorem

$\mathbb{P}$  adds exactly the branches  $b_i$  for  $i < \lambda$ .

## Proof.

- \* Let  $p \in \mathbb{P}$  force that  $\dot{b}$  is cofinal branch through  $T$ , but  $\dot{b} \neq \dot{b}_i$  for all  $i < \lambda$ .
- \* Throw parameters into  $\kappa$ -size  $X \prec H_\theta$  from before,  ${}^{<\kappa}X \subseteq X$ .
- \* For  $i \in \lambda \cap X$ , let  $D_i$  be open dense below  $p$  set of  $r \leq_{\mathbb{P}} p$  that force  $\dot{b} \upharpoonright \xi \neq \dot{b}_i \upharpoonright \xi$  for some  $\xi < \kappa^+$ .
- \* Let  $G$  be pseudo-generic, meeting all the  $\kappa$ -many dense sets from the previous lemma plus all  $D_i^{\mathbb{Q}}$ .
- \* For every  $i \in \lambda \cap X$ ,  $\hat{q}$   $\mathbb{P}$ -forces that  $\dot{b} \upharpoonright \delta \neq \dot{b}_i \upharpoonright \delta$ .
- \*  $\text{ran}(\hat{q}) = T(\delta)$ , so  $\hat{q} \leq_{\mathbb{P}} p$  forces  $\dot{b}$  is not a cofinal branch through  $T$ , contradiction.



# Summary

It is consistent the following are non-AC-leaps, i.e., something new appears in their level of the blurry HOD hierarchy and their level fails to satisfy choice. Moreover, in each case, they are the least leap.

- \*  $\omega_1$  (Jensen forcing) (Kanovei)
- \*  $\kappa^+$ , for  $\kappa$  inaccessible (Jensen forcing at an inaccessible)
- \*  $\kappa^{++}$ , with  $\kappa^{<\kappa} = \kappa$  (free  $\kappa^+$ -Suslin tree)

## Questions.

Can we arrange for multiple non-AC-leaps simultaneously?

What are some other  $\kappa$ -Kanovei or  $\kappa$ -Jensen posets?

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